

List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)

A13

A14

A15

A16

A17

A18

A19

A 20

5,088,036

4,823,122

4,956,773

5109,486

5,187,787

5,218,699

5,257,369

5 293 614

ATTY. DKT. NO. 5181-67400

SERIAL NO. 09/653,614

APPLICANT: Slaughter et al

GROUP: 2152

FILING DATE: August 31, 2000

		U	.S. PATENT	DOCUMENTS			_
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DÄTE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	Al	5,455,952	10/3/95	Gjovaag			
	A2	5,386,568	1/31/95	Wold, et al.			
	A3	5,423,042	6/6/95	Jalili, et al.			
	A4	5,481,721	1/2/96	Serlet, et al.			
	A5	5,557,798	9/17/96	Skeen, et al.			
	A6	5,944,793	8/31/99	Islam, et al.			
	A7	5,946,485	8/31/99	Weeren, et al.			
	A8	5,946,694	8/31/99	Copeland, et al.		RECE	IVED
	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			1 2002
	A10	4,713,806	12/15/87	Oberlander, et al.			31.514
	A11	4,809,160	2/28/89	Mahon, et al.	1	echnology	Center 2100
	A12	4,939,638	7/3/90	Stephenson, et al.		,	

2/11/92

4/18/89

9/11/90

4/28/92

2/16/93

6/8/93

10/26/93

3/8/94

A20	3,233,014	3/0/74	r erguson, et ai.	1 1	
A21	5,297,283	3/22/94	Kelly, Jr., et al.		
A22	5,307,490	4/26/94	Davidson, et al.		
A23	5,311,591	5/10/94	Fischer		
A24	5,339,435	8/16/94	Lubkin, et al.		
A25	5,390,328	2/14/95	Frey, et al.		
A26	5,440,744	8/8/95	Jacobson, et al.		

EXAMINER:

DATE CONSIDERED:

Ellis, et al.

Mann, et al.

Saito, et al.

Seymour Skeen, et al.

Brandle, et al.

Skeen, et al.

Ferguson et al

Form PTO-1449 (medified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTY. DKT. NO. 5181-67400

SERIAL NO. 09/653,614

APPLICANT: Slaughter et al

GROUP: 2152

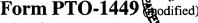
FILING DATE: August 31, 2000

II C	PATENT	DOCIM	MENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE II APPROPRIATE
	A27	5,448,740	9/5/95	Kiri, et al.			
	A28	5,452,459	9/19/95	Drury, et al.			
	A29	5,471,629	11/28/95	Risch			
	A30	5,475,792	12/12/95	Stanford, et al.		-	
	A31	5,475,817	12/12/95	Waldo, et al.			
· · · · · · · · · · · · · · · · · · ·	A32	5,504,921	4/2/96	Dev, et al.			
	A33	5,511,197	4/23/96	Hill, et al.			
	A34	5,524,244	6/4/96	Robinson, et al.			
	A35	5,553,282	9/3/96	Parrish, et al.			
	A36	5,555,367	9/10/96	Premerlani, et al.	D	ECEI	/FD
	A37	5,555,427	9/10/96	Aoe, et al.	1 1		
	A38	5,560,003	9/24/96	Nilsen, et al.		NOV 2 1	1
	A39	5,561,785	10/1/96	Blandy, et al.	Tech	nology Ce	nter 2100
,	A40	5,577,231	11/19/96	Scalzi, et al.	194		
	A41	5,594,921	1/14/97	Pettus			
	A42	5,603,031	2/11/97	White, et al.			
	A43	5,680,573	10/21/97	Rubin, et al.			
	A44	5,680,617	10/21/97	Gough, et al.			
	A45	5,684,955	11/4/97	Meyer, et al.			
	A46	5,689,709	11/18/97	Corbett, et al.			
	A47	5,706,435	1/6/98	Barbara, et al.			
	A48	5,706,502	1/6/98	Foley, et al.			
	A49	5,724,588	3/3/98	Hill, et al.			
	A50	5,727,145	3/10/98	Nessett, et al.		,	
	A51	5,617,537	4/1/97	Yamada, et al.			
	A52	5,628,005	5/6/97	Hurvig			
· · · · ·	A53	5,640,564	6/17/97	Hamilton, et al.			

EXAMINER:

DATE CONSIDERED:



Form PTO-1449 (podified)

List of Patents and Publications

For Applicant's Information PALE (police) Disclosure Statement

(Use several sheets if necessary)

ATTY. DKT. NO. 5181-67400

APPLICANT: Slaughter et al

FILING DATE: August 31, 2000

SERIAL NO. 09/653,614

GROUP: 2152

ILS PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE II APPROPRIATE
	A54	5,644,768	7/1/97	Periwal, et al.			
	A55	5,652,888	7/29/97	Burgess, et al.			
	A56	5,655,148	8/5/97	Richman, et al.			
	A57	5,659,751	8/19/97	Heninger		-	
	A58	5,671,225	9/23/97	Hooper, et al.			
	A59	5,675,796	10/7/97	Hodges, et al.			
	A60	5,737,607	4/7/98	Hamilton, et al.			
	A61	5,745,678	4/28/98	Herzberg, et al.	1 - 1		
	A62	5,745,695	4/28/98	Gilchrist, et al.		2/1	
	A63	5,745,703	4/28/98	Cejtin, et al.	DH	CEIV	FD
	A64	5,745,755	4/28/98	Covey			
	A65	5,748,897	5/5/98	Katiyar		OV 2 1 2	
	A66	5,754,849	5/19/98	Dyer, et al.	Techr	ology Cen	er 2100
	A67	5,757,925	5/26/98	Faybishenko			
	A68	5,761,656	6/2/98	Ben-Shachar			
	A69	5,764,897	6/9/98	Khalidi			
	A70	5,768,532	6/16/98	Megerian			
	A71	5,774,551	6/30/98	Wu, et al.			
	A72	5,778,228	7/7/98	Wei			
	A73	5,778,368	7/7/98	Hogan, et al.			
	A74	5,787,425	7/28/98	Bigus			
	A75	5,787,431	7/28/98	Shaughnessy			
	A76	5,790,548	8/4/98	Sistanizadeh, et al.			
	A77	5,802,367	9/1/98	Held, et al.			
	A78	5,808,911	9/15/98	Tucker, et al.			
	A79	5,809,507	9/15/98	Cavanaugh, III			
	A80	5,813,013	9/22/98	Shakib, et al.			

EXAMINER:

DATE CONSIDERED:



Form PTO-1449 (modified)
List of Patents and Publication Endirection For Applicant's Information Disclosure Statement (Use several sheets if necessary)

ATTY. DKT. NO. 5181-67400

SERIAL NO. 09/653,614

APPLICANT: Slaughter et al

GROUP: 2152

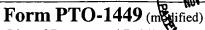
FILING DATE: August 31, 2000

	-	THE PERSON	TOOTT	THE PERSON
	DA'		DOCUN	
L Jak Ja	1 /1		DOCUM	

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE II APPROPRIATE
	A81	5,815,149	9/29/98	Mutschler, III et al.			
	A82	5,815,709	9/29/98	Waldo, et al.			
	A83	5,815,711	9/29/98	Sakamoto, et al.			
	A84	5,818,448	10/6/98	Katiyar			
	A85	5,829,022	10/27/98	Watanabe, et al.			
	A86	5,832,219	11/3/98	Pettus			
	A87	5,832,529	11/3/98	Wollrath, et al.			
	A88	5,832,593	11/10/98	Wurst, et al.)-	
	A89	5,835,737	11/10/98	Sand, et al.			
	A90	5,842,018	11/24/98	Atkinson, et al.	RE	CEIV	/ED
	A91	5,844,553	12/1/98	Hao, et al.			2002
	A92	5,845,129	12/1/98	Wendorf, et al.			T
	A93	5,860,004	1/12/99	Fowlow, et al.	Techr	nology Ce	nter 2100
	A94	5,860,153	1/12/99	Matena, et al.			
	A95	5,864,862	1/26/99	Kriens, et al.			
	A96	5,864,866	1/26/99	Henckel, et al.			
	A97	5,872,928	2/16/99	Lewis, et al.		•	
	A98	5,872,973	2/16/99	Mitchell, et al.		.,	
	A99	5,875,335	2/23/99	Beard			
	A100	5,878,411	3/2/99	Burroughs, et al.			
	A101	5,884,024	3/16/99	Lin, et al.			30.1.5
	A102	5,884,079	3/16/99	Furusawa			
	A103	5,887,134	3/23/99	Ebrahim			
	A104	5,890,158	3/30/99	House, et al.			
	A105	5,892,904	4/6/99	Atkinson, et al.			
	A106	5,933,497	8/3/99	Beetcher, et al.			
	A107	5,935,249	8/10/99	Stern, et al.			

EXAMINER:

DATE CONSIDERED:



List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)

ATTY. DKT. NO. 5181-67400

APPLICANT: Slaughter et al

SERIAL NO. 09/653,614

GROUP: 2152

FILING DATE: August 31, 2000

		U	<u>.S. PATENT I</u>	OCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE I APPROPRIATE
	A108	5,940,827	8/17/99	Hapner, et al.			
	A109	5,966,531	10/12/99	Skeen, et al.			
	A110	5,969,967	10/19/99	Aahlad, et al.			
1-0.1	A111	5,987,506	11/16/99	Carter, et al.			
	A112	5,999,179	12/7/99	Kekic, et al.			
- 112	A113	6,003,763	12/21/99	Gallagher, et al.		-	
	A114	6,009,103	12/28/99	Woundy		-	
	A115	6,016,496	1/18/00	Roberson			
	A116	6,026,414	2/15/00	Anglin		 v.	
	A117	6,031,977	2/29/00	Pettus	RH	CEIV	FD
	A118	6,061,699	5/9/00	DiCecco, et al.			
	A119	6,061,713	5/9/00	Bharadhwaj		OV 212	
					Techno	ology Cen	er 2100
				77781			
							
						···	
							
		1					
				70.0			

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified)

AMTY. DKT. NO. 5181-67400

List of Patents and Publications

For Applicant's Information Disclosure Statement

APPLICANT: Slaughter et al.

SERIAL NO. 09/653,614

RECEIVED

GROUP: 2152

NOV 2 1 2002

(Use several sheets if necessary) FILING DATE: August 31, 2000

(OSC SEVE	at site is it increased by FILLING DATE. August 51, 2000
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
B1	"Java TM Remote Method Invocation Specification," Sun Microsystems, Inc., <java.sun.com dk1.2beta1="" products="">, 1997.</java.sun.com>
B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
В3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 st Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.
B6	Anderson, et al., "Persistent Linda: Linda + Transaction + Query Processing," Proceedings of the 13 th Symposium on Fault Tolerant Systems, pp. 93-109, 1991.
B7	"Transparent Network Computing," Locus Computing Corporation, January 5, 1995.
В8	Alexander, et al., "Active Bridging," Proceedings of the ACM/SIGCOMM'97 Conference, Cannes, France, September, 1997.
В9	Beech, et al., "Object Databases as Generalizations of Relational Databases," Computer Standards & Interfaces, Vol. 13, Nos. 1/3 pp. 221-230, Amsterdam, NL, January 1991.
B10	Bertino, et al., "Object-Oriented Database Management Systems: Concepts and issues,: Computer, Vol. 24, No. 4, pp. 33-47, Los Alamitos, CA, April 1991.
B11	Betz, et al, "Interoperable Objects: Laying the Foundation for Distributed Object Computing," Dr. Dobb's Journal, Vol. 19, NO. 11, page 18(13), October 1994.
B12	Bevan, et al., "An Efficient Reference Counting Solution To The Distributed Garbage Collection Problem," Parallel Computing, NL, Elsevier Science Publishers, Amsterdam, Vol. 9, No. 2, pages 179-192, January 1989.
B13	Birrell, et al., "Distributed Garbage Collection for Network Objects," Digital Systems Research Center, NO. 116, pages 1-18, December 15, 1993.
B14	Birrell, et al., "Grapevine: An Exercise in Distributed Computing," Communications fo the ACM, Vol. 25, No. 4, pp. 260-274, April 1982.
B15	Birrell, et al., "Network Objects," DEC SRC Research Report 115, February 28, 1995.
B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
B17	Birrell, et al., "Network Objects," Operating Systems Review, 27(5), pp. 217-230, December 1993.
B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
B19	Cardelli, "Obliq, A Lightweight Language For Network Objects," Digital SRC, pp. 1-37, November 5, 1993.
B20	Carriero, et al., "Distributed Data Structures in Linda," Principles of Programming Language, pp. 1-16, 1986.

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified N 18

List of Patents and Publications For Applicant's Information

Disclosure Statement

ATTY. DKT. NO. 5181-67400

PPLICANT: Slaughter et al.

SERIAL NO. 09/653,614

GROUP RECE

NOV 9 1 2002

(Use sev	eral sheets if necessary)	FILING DATE: August 31, 2000	NOV 2 1 2002		
	OTHER ART	(Including Author, Title, Date, Pertinent Pages,	Etc.) Technology Center 21		
B21	Carriero, et al., "Distribute November 1985.	ed Data Structures in Linda," Yale Research Report Y	YALEU/DCS/RR-438,		
B22	Chung, et al., "A Tiny' Par 1978.	scal Compiler: Part 1: The P-Code Interpreter," BYT	E Publications, Inc., September		
B23	Chung, et al., "A Tiny'Pas	scal Compiler: Part 2: The P-Compiler," BYTE Publi	cations, Inc., October 1978.		
B24		Dication Interface, And Distributed Systems," Proceed Operating Systems, pages 212-220, September 24, 19			
B25	Deux, et al., "The O2 Syst 10, pages 34-48, October	em," Communications Of The Association For Comp. 1, 1991.	puting Machinery, Col. 34, No.		
B26	Dijkstra, "Self-stabilizing 11, pp. 643-644, Novemb	Systems in Spite of Distributed Control," Communic er 1974.	ations of the ACM, Vol 17, No.		
B27	Dolev, et al., "On the Min NO. 1, pp. 77-97, January	imal Synchronism Needed for Distributed Consensus 1987.	s," Journal of the ACM, Vol. 34,		
B28	Dollimore, et al., "The des Cambridge, GB, December	sign of a System for Distributing Shared Objects," The 1991.	ne Computer Journal, No. 6,		
B29	Dourish, "A Divergence-E Technical Report EPC-11	Based Model of Synchrony and Distribution in Collab 94-102, pp. 1-10, 1994.	porative Systems," Xerox		
B30		Engineering for Computational Resource Manageme ence Publishers B.V., pp. 231-266, 1988.	nt," The Ecology of		
B31	Gelernter, et al., "Parallel	Programming in Linda," Yale University, pp. 1-21, J	anuary 1995.		
B32		Droms, "RFC 1541 Dynamic Host Configuration Protocol," http://www.cis.ohio-state.edu.htbin/rfc/rfc1541.html , pages 1-33, October 1993.			
B33	Emms, "A Definition Of AN Access Control Systems Language," Computer Standards And Interfaces, V. No. 4, pp. 443-454, January 1, 1997.				
B34		ormance Distributed Objects Using Distributed Share ces, 1998, Proceedings of the 31 st Hawaii Internat'l.			
B35	1	Gelernter, "Generative Communication in Linda," ACM Transactions on Programming Languages and Systems, Vol. 7, No. 1, pp. 80-112, January 1985.			
B36					
В37	Gray, et al. "Leases: An E pp. 202-210, 1989.	fficient Fault-Tolerant Mechanism for Distributed Fi	le Cache Consistency," ACM,		
B38		Guth, "JavaOne: Sun to Expand Java Distriubted Computing Effor," http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html , XP-002109935, p.1, February 20, 1998.			
B39	Guyennet, et al., "A New 82-87, 1997.	Consistency Protocol Implemented in the CaliF Syste	em," IEEE, 1094-7256/97, pp.		

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified)

List of Patents and Publications 18 TY. DKT. NO. 5181-67400
For Applicant's Information Disclosure Statement

SERIAL NO. 09/653,614

NOV 2 1 2002

al sheets if necessary) THATEOFILING DATE: August 31, 2000
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc. Jechnology Center 2100
Guyennet, et al., "Distributed Shared Memory Layer for Cooperative Work Applications," IEEE, 0742-1303/97, pp. 72-78, 1997.
Hamilton, et al., "Subcontract: A Flexible Base for Distributed Programming," Proceedings of 14 th Symposium of Operating System Principles, December 1993.
Hamilton, "Java and the Shift to Net-Centric Computing," Computer, pp. 31-39, August 1996.
Harris, et al., "Proposal for a General Java Proxy Class for Distributed Systems and Other Uses," Netscape Communications Corp., June 25, 1997.
Hartman, et al., "Liquid Software: A New Paradigm For Networked Systems," Technical Report 96-11, Dept. of Comp. Sci., Univ. of Arizona, June 1996.
Howard, et al., "Scale and Performance in a Distributed File System," ACM Transactions on Computer Systems, Vol. 6, No. 1, pp. 51-81, February 1988.
Pier, "A Retrospective on the Dorando, A High-Performance Personal Computer," Xerox Corporation, August 1983.
Pinakis, "Using Linda as the Basis of an Operating System Microkernel," University of Western Australia, Dept. of Computer Science, pp. 1-165, August 1993.
Riggs, et al., "Picking State in the Java ™ System," USENIX, Association Conference on Object-Oriented Technologies and Systems, CP-002112719, pp. 241-250, June 17-21, 1996.
Rosenberry, et al., "Understanding DCE," Chapters 1-3, 6, 1992.
Sharrott, et al., "ObjectMap: Integrated High Performance Resources into a Distributed Object-oriented Environment," ICODP, 1995.
Stevenson, "Token-Based Consistency of Replicated Servers," IEEE, CH2686-4/89/0000/0179, pp. 179-183, 1989.
Thompson, "Regular Expression Search Algorithm," Communications of the ACM, Vol. II, No. 6, p. 149 et seq., June 1968.
Venners, "Jini Technology, Out of the Box," JAVAWORLD, 'Online!, pp. 1-4, December 1998.
Waldo, et al., "Events in An RPC Based Distributed System," Proceedings Of The 1995 USENIX Technical Conference, Proceedings USENIX Winter 1995 Technical Conference, New Orleans, LA, USA, 16-20, pages 131-142, January 1995.
Wilson, et al., "Design of the Opportunistic Garbage Collector," Proceedings of the Object Oriented Programming Systems Languages And Applications Conference, New Orleans, Vol. 24, No. 10, October 1989.
Wollrath, et al., "A Distributed Object Model for the Jaca ™ System," USENIX Association, Conference on Object-Oriented Technologies and Systems, June 17-21, 1996.
Wu, "A Type System For An Object-Oriented Database Systems," Proceedings of the International Computer Software and Applications Conference (COMPSAC), Tokyo, Japan, pp. 333-338, Sept. 11-13, 1991.
Yemini, et al., "Towards Programmable Networks," IFIP/IEEE International Workshop on Distributed Systems: Operations and Management, L'Aquila, Italy, October 1996.
Yin, et al., "Using Leases to Support Server Driven Consistency in Large-Scale Systems," Computer Services Department, University of Texas at Austin, p. 285-294, May 26-28, 1998.

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified E List of Patents and Publications

ATTY. DKT. NO. 5181-67400

SERIAL NO. 09/653,614

For Applicant's Information Disclosure Statement

PPLICANT: Slaughter et al.

副 即LING DATE: August 31, <u>2000</u> (Use several sheets if necessary)

(Use severa	al sheets if necessary) FILING DATE: August 31, 2000 NOV 2 1 2002
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
B60	Yin, et al., "Volume Leases for Consistency in Large-Scale Systems," IEEE Trans 1960 1994 Center 2100 Data Engineering, Vol. 11, No. 4, pp. 563-576, July/August 1999.
B61	Mitchell, et al., "An Overview of the Spring System," February 1994.
B62	Mitchell, et al., "Mesa Language Manual," Xerox Corporation, Palo Alto Research Center, 1978.
B63	McDaniel, "An Analysis of a Mesa Instruction Set," Xerox Corporation, May 1982.
B64	McGrath, "Discovery and its Discontents: Discovery Protocols for Ubiquitous Computing," Presented at Center for Excellence in Space Data and Information Science, NASA Goddard Space Flight Center, April 5, 2000.
B65	Mummert, et al., "Long Term Distributed File Reference Tracing: Implementation and Experience," Carnegie Mellon University School of Computer Science, pp. 1-28, November 1994.
B66	Orfali, et al., "The Essential Distributed Objects Survival Guide," Chapter 11: Corba Commercial ORBs, pages 203-215, John Wiley & Sons, Inc., 1996.
B67	Ousterhout, et al., "The Sprite Network Operating System," Computer, IEEE, pp. 23-36, February 1988.
B68	Pier, "A Retrospective on the Dorando, A High-Performance Personal Computer," IEEE Conference Proceedings, The 10 th Annual International Symposium on Computer Architecture," 1993.
B69	Hunt, "IDF: A Graphical Data Flow Programming Language for Image Processing and Computer Vision," Proceedings of the International Conference on Systems, Man, and Cybernetics, pp. 351-360, Los Angeles, Nov. 4-7, 1990.
B70	IBM [™] Technical Disclosure Bulletin, "Object Location Algorithm," Vol. 36, No. 09B, pp. 257-258, September 1993.
B71	IBM, "Chapter 6 - Distributed SOM (DSOM)," SOMobjects Developer Toolkit Users Guide, Version 2.1, pages 6-1-6-90, October 1994.
B72	Anonymous, "Change-Notification Service for Shared Filed," IBM Technical Disclosure Bulletin, Vol. 36, No. 8, pages 77-82, XP002109435 New York, US, November 1973.
B73	IBM [™] Technical Disclosure Bulletin, "Retrieval of Qualified Variables Using Extendible Hashing," Vol. 36, No. 12, pp. 301-303, December 1993.
B74	Anonymous, "Resource Preemption for Priority Scheduling," IBM TECHNICAL DISCLOSURE BULLETIN, Vol. 16, No. 6, page 1931, XP002109435 New York, US, November 1973.
B75	IBM [™] Technical Disclosure Bulletin, "Local Network Monitoring to Populate Access Agent Directory," Vol. 36, No. 09A, pp. 403*405, September 1993.
B76	MUX-Elektronik, Java 1.1 Interactive Course, www.lls.se/~mux/javaic.html, 1995.
B77	Jones, et al., "Garbage Collection: Algorithms for Automatic Dynamic Memory Management," pages 165-175, John Wiley & Sons, 1996.
B78	Kambhatla, et al., "Recovery with Limited Replay: Fault-Tolerant Processes in Linda," Oregon Graduate Institute, Technical Report CSIE 90-019, pp. 1-16, September 1990.
B79	Kay, et al., "An Overview of the Raleigh Object-Oriented Database System," ICL Technical Journal, Vol. 7, No. 4, pp. 780-798, Oxford, GB, November 1991.

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modifice) E

List of Patents and Publications
For Applicant's Information
Disclosure Statement

(Use several sheets if necessary

ATTY. DKT. NO. 5181-67400

PPLICANT: Slaughter et al.

写 顧LING DATE: August 31 2000 SERIAL NO. 09/653,614

GROUP: 2152

(Use severa	al sheets if necessary ELING DATE: August 31, 2000
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
B80	Kougiouris, et al., "Support for Space Efficient Object Invocation in Spring," September 1994.
B81	Krasner, "The Smalltalk-80 Virtual Machine," BYTE Publications, Inc., pp. 300-320, August 1991.
B82	Lamport, et al., "The Byzantine Generals Problem," ACM Transactions on Programming Languages and Systems, Vol. 4, No. 3, pp. 382-401, July 1982.
B83	LINDA Database Search, pp. 1-68, July 20, 1995.
B84	Liskov, et al., "Distributed Object Management in Thor," International Workshop on Distributed Object Management, 1992.
B85	Gosling, et al., "The Java ™ Language Specification," Addison-Wesley, 1996.
	RECEIVED
100	NOV 2 1 2002
	Technology Center 210
<u> </u>	

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified)

List of Patents and Publications APPLICANT: Slaughter et al. Disclosure Statement

(Use several sheets if necessary)

ATTÝ. DKT. NO. 5181-67400

FILING DATE: August 31, 2000

SERIAL NO. 09/653,614

GROUP: 2152

U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE ·	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	D1	5,778,187	7/7/98	Monteiro, et al.				
	D2	5,832,529	11/3/98	Wollrath, et al.				
	D3	6,016,500	1/18/00	Waldo, et al.				

FOREIGN PATENT DOCUMENTS TRANSLATION **COUNTRY CLASS SUB** EXAM. REF. DOCUMENT NUMBER DATE YES/NO **CLASS INITIALS** DES. 10/10/97 JP C1 11-45187 2 253 079 8/26/92 GB C2 C3 2 262 825 6/30/93 GB NOV 2 1 2002 GB C4 2 305 087 3/26/97 Technology Center 2100 EP C5 300 516 1/25/89 EP C₆ 351 536 1/24/90 8/29/90 EP **C7** 384 339 C8 472 874 3/4/92 EP 3/11/92 EP C9 474 340 C10 497 022 8/5/92 EP 555 997 EP C11 8/18/93 C12 565 849 10/20/93 EP 11/10/93 EP C13 569 195 2/21/96 EP C14 697 655 C15 660 231 6/28/95 EP 651 328 5/3/95 C16 EP EP C17 625 750 11/23/94 718 761 6/26/96 EP C18 C19 767 432 4/9/97 EP C20 778 520 6/11/97 EP 794 493 C21 9/10/97 EP

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified) List of Patents and Publications

For Applicant's Information Disclosure Statement (Use several sheets if necessary)

TTY. DKT. NO. 5181-67400

APPLICANT: Slaughter et al.

SERIAL NO. 09/653,614

GROUP: 2152

FIEING DATE: August 31, 2000

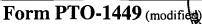
FOREIGN	\mathbf{P}	TENT	DO	CUN	MENTS
---------	--------------	------	----	-----	--------------

		ATT	ERGN PATE	NT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	C22	803 810	10/29/97	EP			
	C23	803 811	10/29/97	EP			
	C24	805 393	11/5/97	EP			
	C25	810 524	12/3/97	EP		ii — T	
	C26	817 020	1/7/98	EP			
	C27	817 022	1/7/98	EP			
	C28	817 025	1/7/98	EP			
	C29	836 140	4/15/98	EP	RF	CEIVI	ED
	C30	92/07335	4/30/92	WO	A L	OV 2 1 20	02
	C31	92/09948	6/11/92	WO	- N	Jγ 6) 4 60	0400
	C32	93/25962	12/23/93	WO	Techn	ology Cent	er 2100
	C33	94/03855	2/17/94	WO .			
	C34	96/10787	4/11/96	WO			
	C35	96/03692	2/8/96	WO			
	C36	96/18947	6/20/96	WO			
	C37	96/24099	8/8/96	WO			
	C38	98/02814	1/22/98	WO			
	C39	98/04971	2/5/98	WO			
A CONTRACTOR OF THE CONTRACTOR	•	OTHER ART (I	ncluding Author	, Title, Date, Pertinent I	Pages, Etc.)		
	C40	Jaworski, "Java 1.1 Develop	er's Guide, 2 nd Edi	tion," Sams.net, 1997.			
	C41	Coulouris, et al., "Distributed	d Systems Concep	ts and Designs," Second Ed	dition, Addiso	on-Wesley, 1	1994.
	C42	Mullender, "Distributed Syst	ems," Second Edi	tion, Addison-Wesley, 199	3.		
	C43	Lindholm, et al., "The Java T	™ Virtual Machine	e Specification," Addison V	Vesley, 1996.		
	D4	"SOAP: Simple Object Achttp://msdn.Microsoft.com			p, Microsoft,	April 26, 2	000,
	D4	Rob Guth, "Sun tries on Ja	caSpaces for Dist	tributed OS," August 1997	7, Vol. 19, Is	sue 34, 2 pa	iges.
	D6	Microsoft, "Microsoft.NE". 2000, 8 pages.	Γ: Realizing the N	lext Generation Internet,"	A Microsoft	White Pape	er, June

EXAMINER:

DATE CONSIDERED:

	ents and Publications icant's Information SERIAL NO. 3181-67400 ART 1. DRT. NO. 3181-67400 GROUP: 2152
Disclo	osure Statement Will
(Use sever	ral sheets if necessary) FILING DATE: August 31, 2000 K. F. Eustice, et al., Alminersal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999,
D7	Pp. 575-601.
D8	Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 page
D9	Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.
	RECEIVED
	NOV 2 1 2002
	Technology Center 2100



List of Patents and Publications

Ear Applicant's Information

For Applicant's Information

📆 DKT. NO. 5181-67400

SERIAL NO. 09/653,614

GROUP: 2151

(U		al sheets if necessary)	FILING DAT	ΓE: August 31, 2000			
		U	.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	Inventors	CLASS	SUB CLASS	TRANSLATION YES/NO
	D1	6,324,566	11/27/01	Himmel, et al.		IECE	VED
	D2	6,332,062	12/18/01	Phillips, et al.		NOV 2 1	2002
	D3	6,405,175	6/11/02	Ng	Ted	hnology C	enter 2100
	D4	5,649,186	7/15/97	Ferguson			oritor E100
	-	FOR	EIGN PATE	NT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	D5	892 530	1/20/99	EP			
		OTHER ART (I	ncluding Author	r, Title, Date, Pertinent P	ages, Etc.)		
	D6	Morgenthaler, et al., U.S. Pub Creating, Editing, and Updati Information and Displaying C Sequential or Slide Show For Granik, et al., U.S. Publication	ng Searchable Gra Graphical Images I rmat".	aphical Database and Datab From a Searchable Graphic	ases of Grap al Database	hical Images or Databases	and in a
	D8	for Replacement of On-Line Do Rosario Botelho, et al., U Processing System for Target	.S. Publication N		I June 6, 200	2, entitled "I	Data
	D9	Addante, U.S. Publication No Transaction Tracking Over a			2, entitled "N	lethod and A	pparatus for
	D10	Ullman, et al., U.S. Publica Programming System and I Information Segments".					
	D11	Uppaluru, U.S. Publication Providing and Using University				"System and	Method for
	D12	XP-002211482, "Federatin 1999.	g and Administe	ring Lookup Services", pp.	320-329, 4	05-419, 635	-656, June
	D13	XP-002212134, "The Sear	ch API's", pp. 29	7-305, 320-329 June 1999	•		
	D14	"Network Nirvana and the	Intelligent Devic	e", Spotlight, XP-0029273	92, pp. 16-1	9, April 199	9.
	D15	"Behavioral Specification	Using XML", Mo	kee and Marshall, IEEE, p	p. 53-59, 19	99.	
	D16	"JavaSpaces", Chapter 15, X	P-002212109, pp.	636-657, June 1999.			

EXAMINER:

DATE CONSIDERED:

Form PTO-1449 (modified) List of Patents and Publications (M) Sort Applicant's Information For Applicant's Information

TY. DKT. NO. 5181-67400

SERIAL NO. 09/653,614

GROUP: 2151

APPLICANT: Slaughter et al

_				TE: August 31, 2000			
		U.	S. PATENT	DOCUMENTS			
	REF. DES.	DOCUMENT NUMBER	DATE	Inventors	CLASS	SUB CLASS	TRANSLATION YES/NO
					RE	CEIV	ED
					N	9 V 2 <u>1</u> 20	92
					Techno	logy Cente	r 2100
		FORI	EIGN PATE	NT DOCUMENTS			
	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
		OTHER ART (In	cluding Autho	or, Title, Date, Pertinent P	ages, Etc.)		
	D17	"T Spaces", Wycoff, et al., 8N	A Systems Journ	nal, pp. 454-474, 1998		1	
	D18	"Management of Advanced Services in H.323 Internet Protocol Telephony", Pagurek, et al. IEEE pp. 91-100. March 26, 2000.					
	D19	"Service Location Protocol: A IEEE Internet Computing, pp.			", Erik Guttn	nan of Sun M	licrosystems,
1	D20	International Search Report for	or PCT/US 01/1:	5363, mailed September 25,	2002.		
145							
		,			 		
						 	

T					
LY	ΛІ	\ 	N.	レロ	٠.
EX.	~ 1	VII	I V	1.1	

DATE CONSIDERED: